



**THE GRAND BHAGWATI**  
HOTELS • BANQUETS • CONVENTIONS • CLUB

**Date: 17.04.2024**

**To,**  
**Bombay Stock Exchange Limited**  
Corporate Service Department  
Floor 25,  
P.J Towers,  
Dalal Street,  
Mumbai-400001  
**BSE SCRIP ID -“532845”**

**National Stock Exchange of India Limited**  
Corporate Communication  
Exchange Plaza,  
Bandra-Kurla Complex,  
Bandra(East)  
Mumbai-400051  
**NSE CODE - “TGBHOTELS”**

Dear Sir/Madam,

**Sub: Submission of Certificate from Practicing Company Secretary pursuant to Regulation 40(9) and 40(10) of SEBI (LODR) Regulations, 2015.**

Please find attached Compliance Certificate in compliance with Regulation 40(9) and 40(10) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31<sup>st</sup> March, 2024.

You are requested to update records accordingly.

Thanking you,

Yours faithfully,

**For, TGB Banquets and Hotels Limited**

**Arpita Shah**  
**Company Secretary & Compliance Officer**  
**Membership No: A60451**

Encl: as Above

**TGB BANQUETS AND HOTELS LIMITED**

S.G. Road, Ahmedabad- 380054, Gujarat, India. Ph: 079 26841000, Fax: 079 26840915

E-mail: [info@tgbhotels.com](mailto:info@tgbhotels.com) Website: [www.tgbhotels.com](http://www.tgbhotels.com)

**CIN: L55100GJ1999PLC036830**



# UMESH VED & ASSOCIATES

## Company Secretaries

304, Shoppers Plaza-V, Opp. Municipal Market, C. G. Road, Navrangpura, Ahmedabad - 380 009.  
Telefax : (O) +91 79 26464153, 48904153 • Mobile +91 98250 35998  
E mail : info@umeshvedcs.com, umesh@umeshvedcs.com • Website : www.umeshvedcs.com

### CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 7<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/ Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, we have examined all Shares transfer deeds, Memorandum of transfers, Registers, files and other documents relating to **M/s. TGB Banquets and Hotels Limited** having its registered office at The Grand Bhagwati, S. G. Highway Bodakdev, Ahmedabad, Gujarat-380054 maintained by their Registrar and Share Transfer Agent **M/s. Link Intime India Private Limited** pertaining to transfer of Equity Shares of the Company for the Period from **01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI(Listing Obligation and disclosure requirements) Regulations 2015 and the listing Agreement entered into by **M/s. TGB Banquets and Hotels Limited**, with the Stock Exchanges.

Based on our examination of records of the Company, in our opinion and to the best of the information and according to explanation given to us, we hereby certify that, there were no physical transfer requests, no request for transmission, name deletion or name change, issue of duplicate shares, sub division of shares, splitting of share certificate, renewal, consolidation, exchange or endorsement in respect of financial year ended on 31<sup>st</sup> March, 2024.

Place: Ahmedabad

Date: 17<sup>th</sup> April, 2024



*Umesh H. Ved*

**Umesh Ved**  
For Umesh Ved & Associates  
Company Secretaries  
FCS No. 4411 C.P. No. 2924  
Peer Review No.: 766/2020  
UDIN: F004411F000154132